

**DEPARTMENT OF FINANCIAL INSTITUTIONS**

1025 CAPITAL CENTER DRIVE, SUITE 200

FRANKFORT, KY 40601

**APPLICATION FOR A MORTGAGE LOAN COMPANY  
AND/OR MORTGAGE LOAN BROKER HUD EXEMPTION**

***Applicants are required to claim the HUD exemption for each location that brokers or originates loans on Kentucky properties. Any location that has not been HUD approved or licensed by any state for the previous 5 years is not eligible for a HUD exemption.***

Application is hereby made to the Commissioner, Department of Financial Institutions, for the following exempt location under KRS Chapter 286.8.

**Check one:**    *New Application* \_\_\_\_\_    *Reapplication (renewal)* \_\_\_\_\_

1.    Name of Applicant \_\_\_\_\_
2.    Name under which Applicant will conduct business in Kentucky (if different than above)  
\_\_\_\_\_
3.    How long has the location been licensed/HUD approved? \_\_\_\_\_
4.    Corporate Telephone Number \_\_\_\_\_
5.    Proposed Branch Telephone Number \_\_\_\_\_
6.    E-mail Address \_\_\_\_\_
7.    Complete Address of **Corporate** Office : (street, city, state, zip code)  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
8.    Complete Address of **Branch** Office: (street, city, state, zip code)  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
9.    Name of Branch Manager \_\_\_\_\_
10.    Attach Separate list of Loan Officers/Originators registered in Kentucky
11.    HUD Lender Number: \_\_\_\_\_
12.    Name of Contact Person for Compliance/Complaint Issues  
\_\_\_\_\_

13. If this is a reapplication, provide “Government Loan List for HUD Exempt Companies.”
14. Provide proof of HUD Approval.

**I hereby certify that I have truthfully completed this application.  
(Please provide notarized signature)**

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Applicant's Signature

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Notary Signature

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Date Commission Expires

- PLEASE INCLUDE THE REQUIRED APPLICATION FEE OF **\$150.00**
- MAKE CHECK PAYABLE TO “**KENTUCKY STATE TREASURER**”

*Updated 8/08*